

## **Colorado Division of Workers' Compensation Sender SROI Testing Requirements**

### **Purpose**

The purpose of testing is to ensure that the Sender's transmissions meet technical and business requirements.

The following are checked during testing:

- The transmission mode for both transaction and acknowledgement files is functional and acceptable for both Receiver and Sender;
- The Sender ID is valid and recognized by the Receiver;
- The Receiver ID is valid and recognized by the Sender;
- The file format matches the file format specified on the Transmission Profile of the Sender;
- The batch format of files sent by the Sender is correct with each batch containing an appropriate header record, one or more records, and a trailer record;
- The number of records sent matches the number indicated in the trailer; and
- The quality of the data.

### **Test Requirements**

- No errors in the header or trailer records occur.
- Sender can receive electronic acknowledgements.
- Sender transmits three batches with at least five production quality SROI Denial (MTC 04), Final Payment Notices (MTC FN).
- Sender re-transmits any rejected transaction, application acknowledgment code TR, in the above three batches until all transactions are accepted with an application acknowledgment code TA.
- Sender's data quality meets Receiver's requirements. (Note: Receiver matches insurer FEIN, TPA FEIN, claim administrator postal code, and employee's social security number in the transmission to existing databases. The combination of the TPA FEIN and the claim administrator postal code is used to identify the correct location of the claims administrator.
- Sender uses real, existing claims for test data instead of simulated claims to assure data integrity.

### **Move to Production**

The length of testing depends on the Sender's transaction reject rate and data quality. Receiver may waive testing requirements if conditions warrant. Once the Sender has met the testing requirements, the Receiver will notify the Sender in writing that production data can be transmitted. The Sender then must change the Test/Production Indicator (DN104) in the Header Record to a "P".